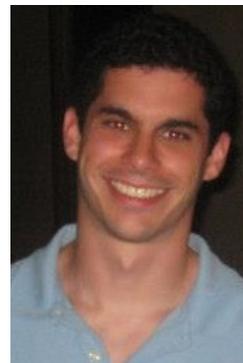


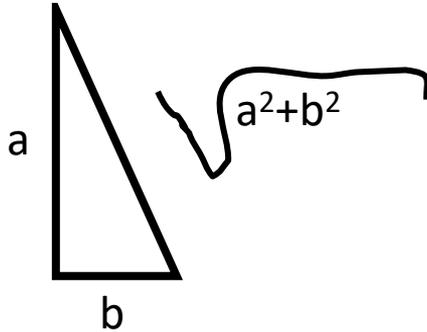
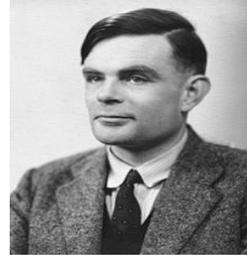
Zero Knowledge Proofs

Introduction to Zero Knowledge Interactive Proofs

Dan Boneh, **Shafi Goldwasser**, Dawn Song, Justin Thaler, Yupeng Zhang



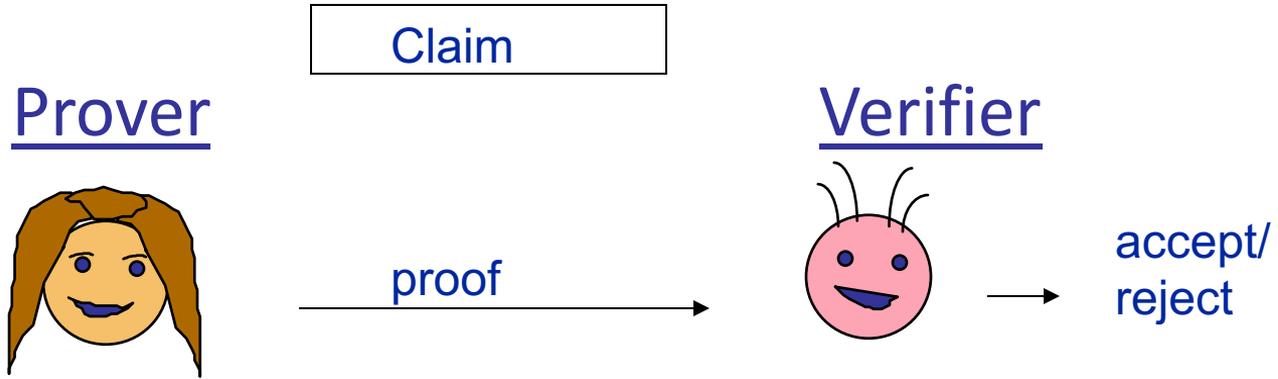
Classical Proofs



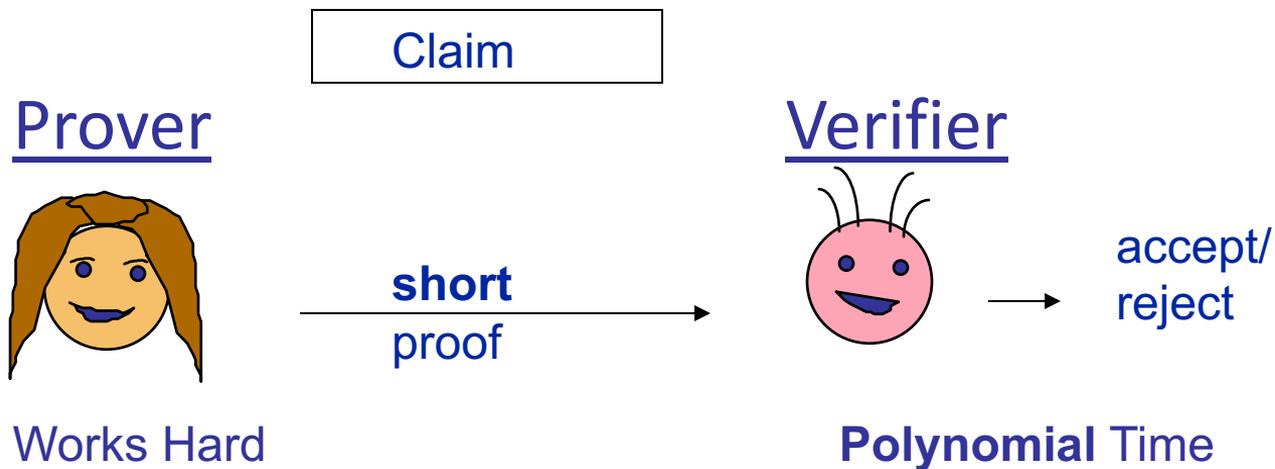
<p>Given: $AC \perp BD$ $BC = EC$ AB is not \cong to ED</p> <p>Prove: $\angle B$ is not \cong to $\angle CED$</p>	
<p>Statements</p> <p>A 1. Assume: $\angle B \cong \angle CED$ 2. $AC \perp BD$ 3. $\angle BCA$ and $\angle DCE$ are right \angles 4. $\angle BCA \cong \angle DCE$ 5. $BC = EC$ 6. $\triangle BCA \cong \triangle ECD$ 7. $AB = ED$ 8. AB is not \cong to ED</p>	<p>Reasons</p> <p>1. Assumption 2. Given 3. Defn. of \perp segs 4. RAT 5. Given 6. ASA (1, 5, 4) 7. CPCTC 8. Given</p>
<p>But statement 7 contradicts statement 8. Consequently, the assumption must be false. $\angle B$ is not \cong to $\angle CED$.</p>	

... Prime-Number Thm

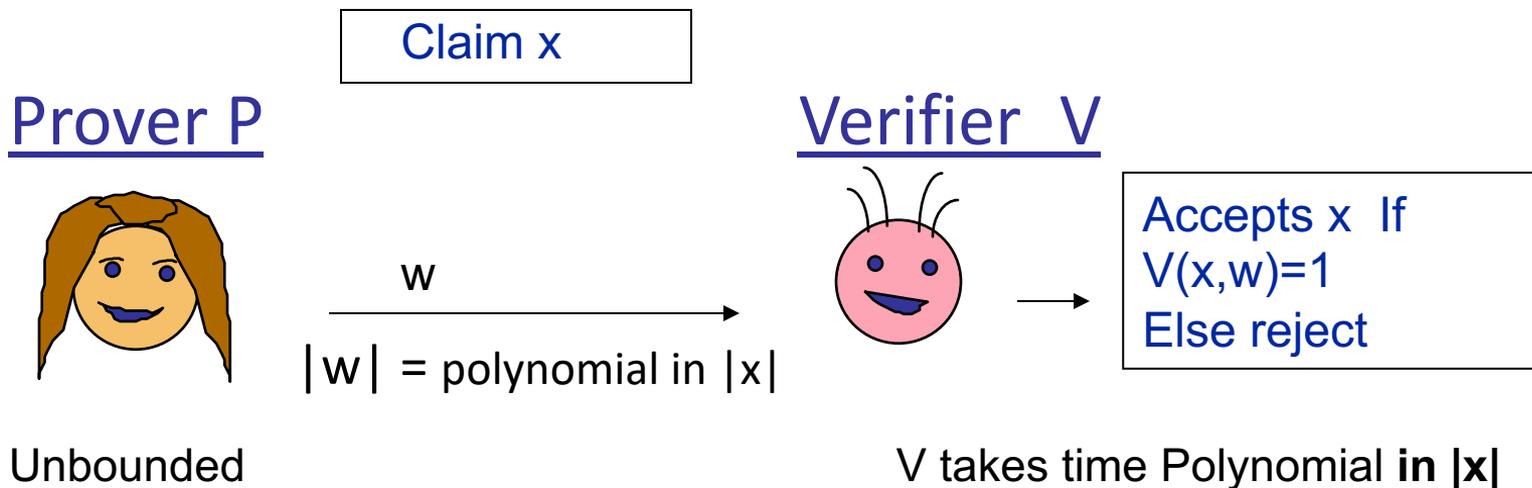
Proofs



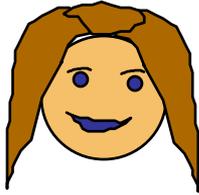
Efficiently Verifiable Proofs (NP-proofs)



Efficiently Verifiable Proofs (NP-proofs)



Claim: N is a product of 2 large primes



proof= $\{p, q\}$

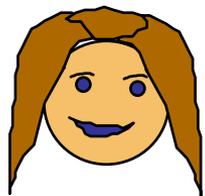


If $N=pq$, V accepts
Else V rejects

After interaction, V knows:

- 1) N is product of 2 primes
- 2) The two primes p and q

Claim: y is a quadratic residue mod N
(i.e. $\exists x$ in Z_N^* s. t. $y=x^2 \pmod N$)



Proof = x

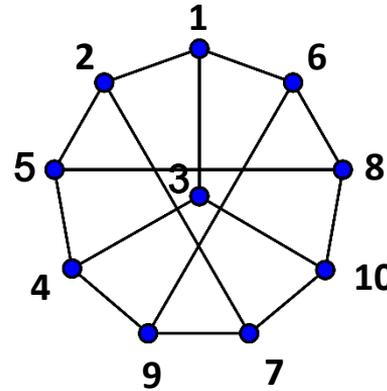
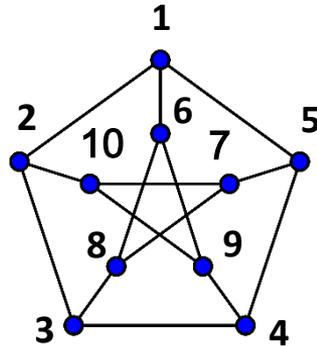


If $y=x^2 \pmod N$, V accepts
Else V rejects

After interaction, V knows:

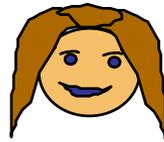
1. y is a quadratic residue mod
2. Square root of y (hard problem equivalent to factoring N)

Claim: the two graphs are isomorphic

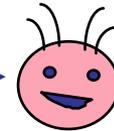


After interaction, V knows:

- 1) G_0 is isomorphic to G_1
- 2) The isomorphism π

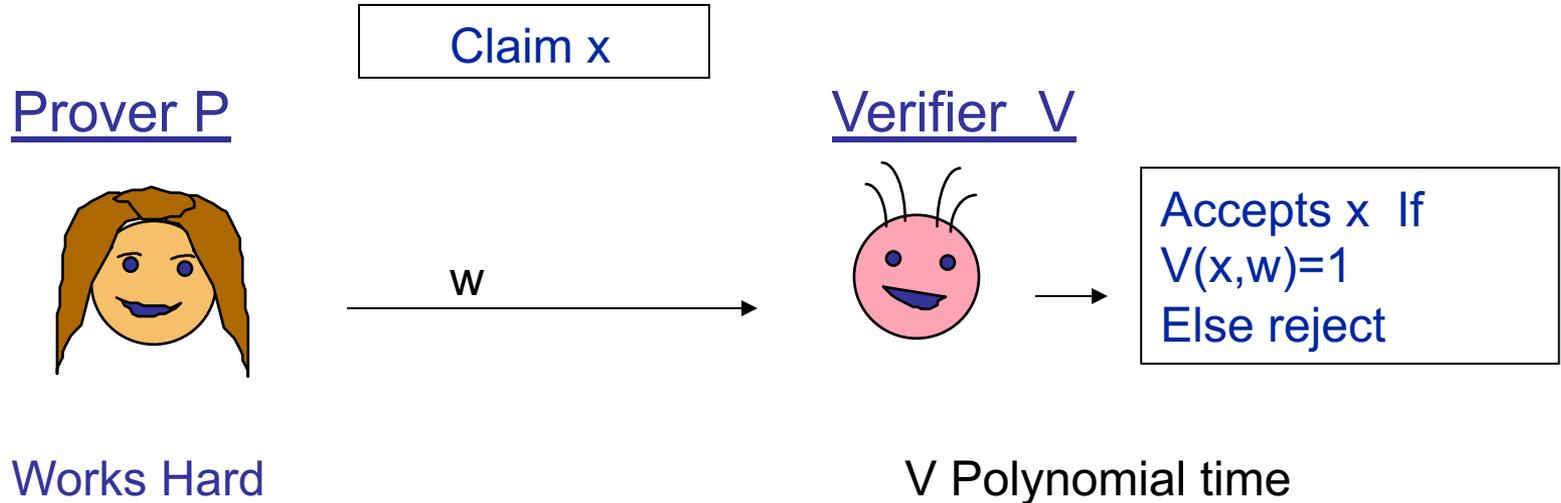


$\pi: [N] \rightarrow [N],$
the isomorphism



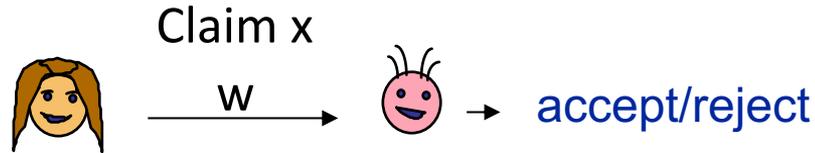
Accept if $\forall i, j:$
 $(\pi(i), \pi(j)) \in E_1$ iff
 $(i, j) \in E_0.$

Efficiently Verifiable Proofs (NP-Languages)



Def: A language L is a set of binary strings x .

Efficiently Verifiable Proofs (NP-Languages)



Def: \mathcal{L} is an **NP**-language (or NP-decision problem), if there is a **poly ($|x|$) time** verifier V where

- **Completeness [True claims have (short) proofs].**
if $x \in \mathcal{L}$, there is a **poly($|x|$)-long** witness $w \in \{0,1\}^*$ s.t. $V(x, w) = 1$.
- **Soundness [False theorems have no proofs].**
if $x \notin \mathcal{L}$, there is no witness. That is, for all $w \in \{0,1\}^*$, $V(x, w) = 0$.

1982-1985: Is there any other way?

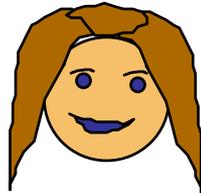


Micali

Goldwasser

Rackoff

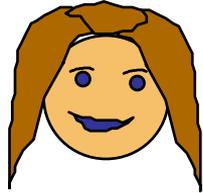
Theorem: y is a quadratic residue mod N



Proof = $\sqrt{y} \bmod N \in \mathbb{Z}_N^*$



Zero Knowledge Proofs: Yes



Main Idea:

Prove that

I **could** prove it

If I felt like it

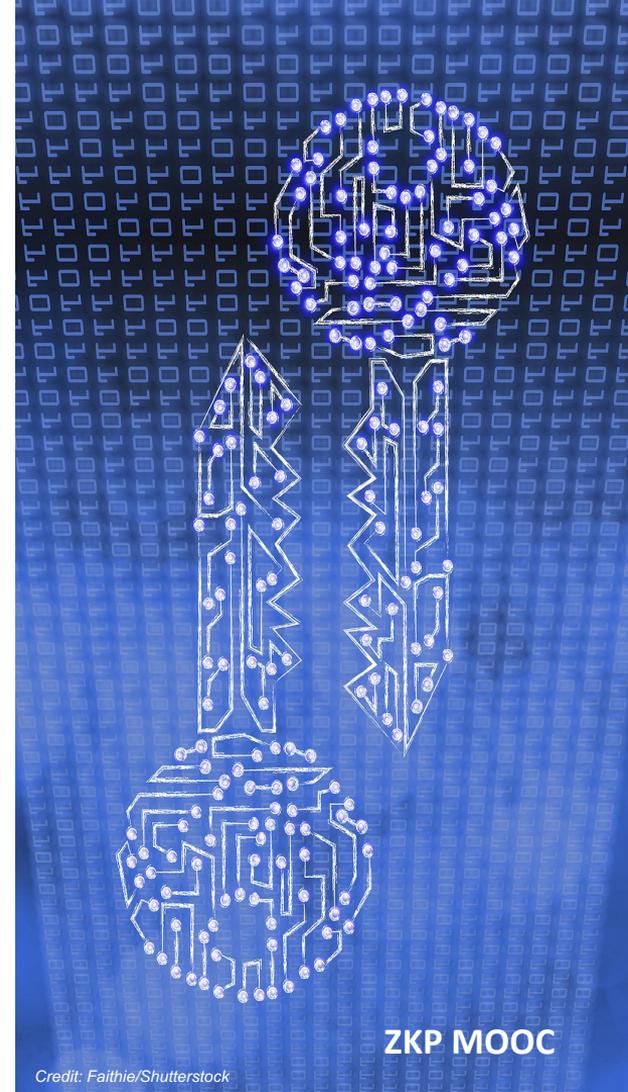


Micali

Goldwasser

Rackoff

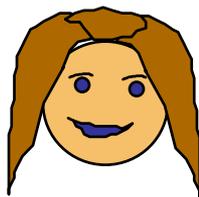
Zero Knowledge Interactive Proofs



Two New Ingredients

Interactive and Probabilistic Proofs

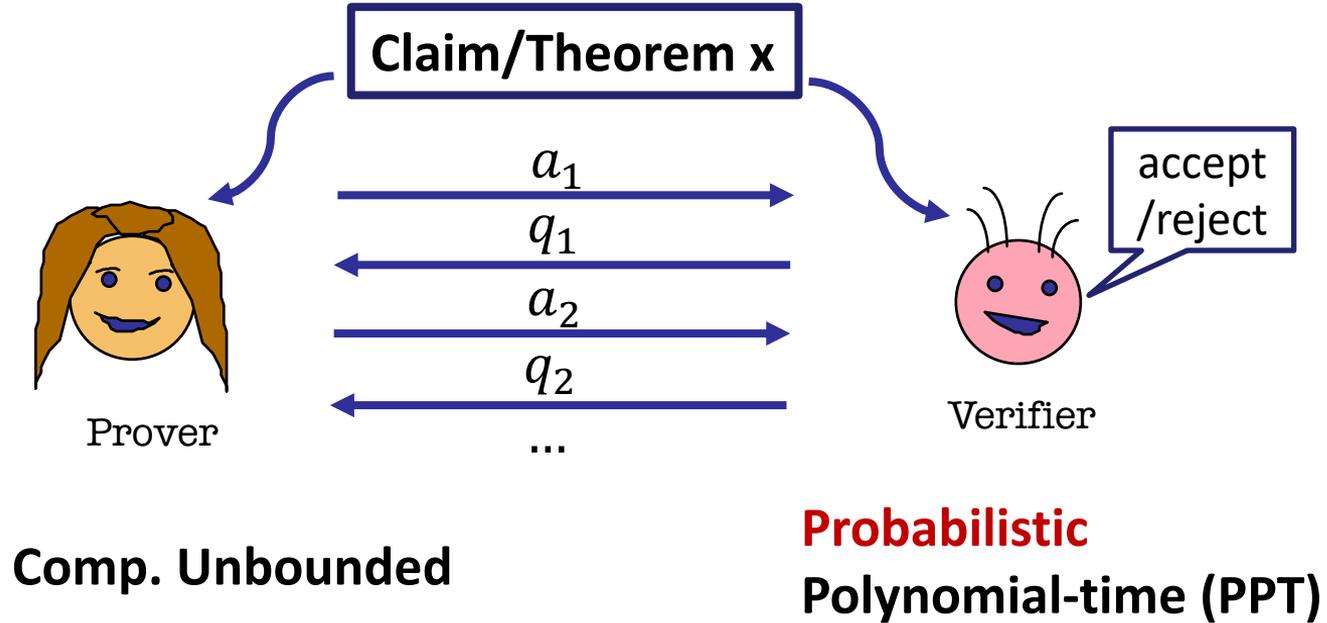
Interaction: rather than passively “reading” proof, verifier engages in a non-trivial **interaction** with the **prover**.



Randomness: verifier is randomized (tosses coins as a primitive operation), and can err in accept/reject with small probability



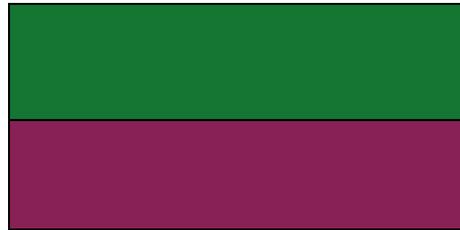
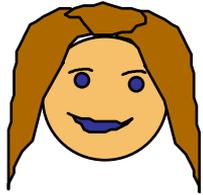
Interactive Proof Model



Here is the idea:

How to prove colors are different to a **blind verifier**

Claim: This page contains 2 colors



Sends resulting page

Toss **coin** to decide if to flip page over or not
Heads flip, Tails don't

If page is flipped
Set $\text{coin}' = \text{heads}$
Else $\text{coin}' = \text{tails}$

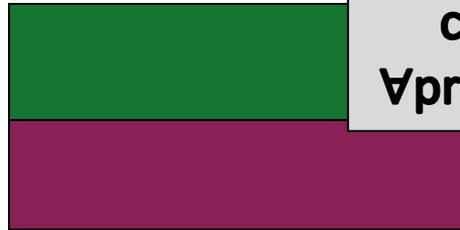
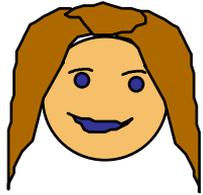
I guess you tossed **coin'**

If $\text{coin} \neq \text{coin}'$,
reject, else accept

Here is the idea:

How to prove colors are different

Claim: This page contains 2 colors



Sends resulting page



Toss **coin** to decide if to flip page over or not
Heads flip, Tails don't

If page is flipped
Set $\text{coin}' = \text{heads}$
Else $\text{coin}' = \text{tails}$

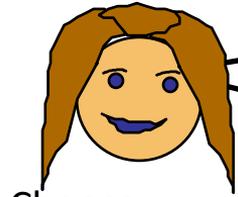
I guess you tossed **coin'**



If $\text{coin} \neq \text{coin}'$,
reject, else accept

- If there are 2 colors, then Verifier will accept
- If there is a single color, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq 1/2$
- If repeat $i=1..k$ times and V accept if $\text{coin}_i' = \text{coin}_i$ every repetition, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq 1/2^k$

Interactive Proof for QR = $\{(N, y): \exists x \text{ s.t. } y = x^2 \pmod N\}$



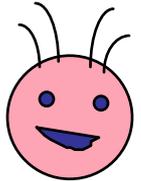
Choose
random
 $1 \leq r \leq N$
s.t.
 $\gcd(r, N) = 1$

Send $s = r^2 \pmod n$ and say

- If I gave you square roots of both s and $sy \pmod N$ you would be convinced that the claim is true (but also know $\sqrt{y} \pmod N$)
- Instead, I will give you a square root of either s or of $sy \pmod N$ but you get to choose which!

Flip a $b =$  to choose

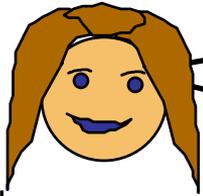
If $b=1$: send $z=r$
If $b=0$: send $z=r\sqrt{y} \pmod N$



Accepts
only if
 $z^2 = sy^{1-b} \pmod N$

Interactive Proof for QR=

- **Completeness:** If Claim is true, then Verifier will accept
- **Soundness:** If Claim is false, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq 1/2$
- **Prover only needs to know** $x = \sqrt{y}$



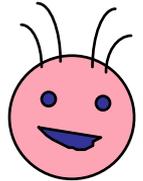
Choose
random
 $1 \leq r \leq N$
s.t.
 $\text{gcd}(r, N) = 1$

Sends $s = r^2 \pmod n$ and says

- If I gave you square roots, you would be convinced that the claim is true (but also know $\sqrt{y} \pmod N$)
- Instead, I will give you a square root of s or of $sy \pmod N$ but you get to choose which!

Flip a $b =$  to choose

If $b=1$: send $z=r$
If $b=0$: send $z=r\sqrt{y} \pmod N$

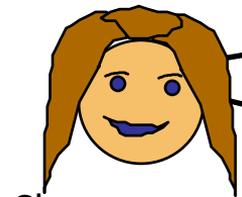


Accepts
only if
 $z^2 = sy^{1-b} \pmod N$

Interactive Proof for C

Repeat 100 times

- **Completeness:** If Claim is true, then Verifier will accept
- **Soundness:** If Claim is false, \forall provers $\text{Prob}_{\text{coins}}(\text{Verifier accept}) \leq (1/2)^{100}$
- **Prover only needs to know $x = \sqrt{y}$**



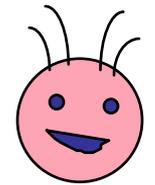
Choose random $1 \leq r \leq N$ s.t. $\text{gcd}(r, N) = 1$

Send $s = r^2 \pmod n$ and s

- If I gave you square s you would be convinced that the claim is true (but also know $\forall y \pmod N$)
- Instead, I will give you a square root of s or of $sy \pmod N$ but you get to choose which!
- The fact that I COULD (in principle) do both, should convince you

Flip a coin  to choose

If $b=1$: send $z=r$
If $b=0$: send $z=r\sqrt{y} \pmod N$

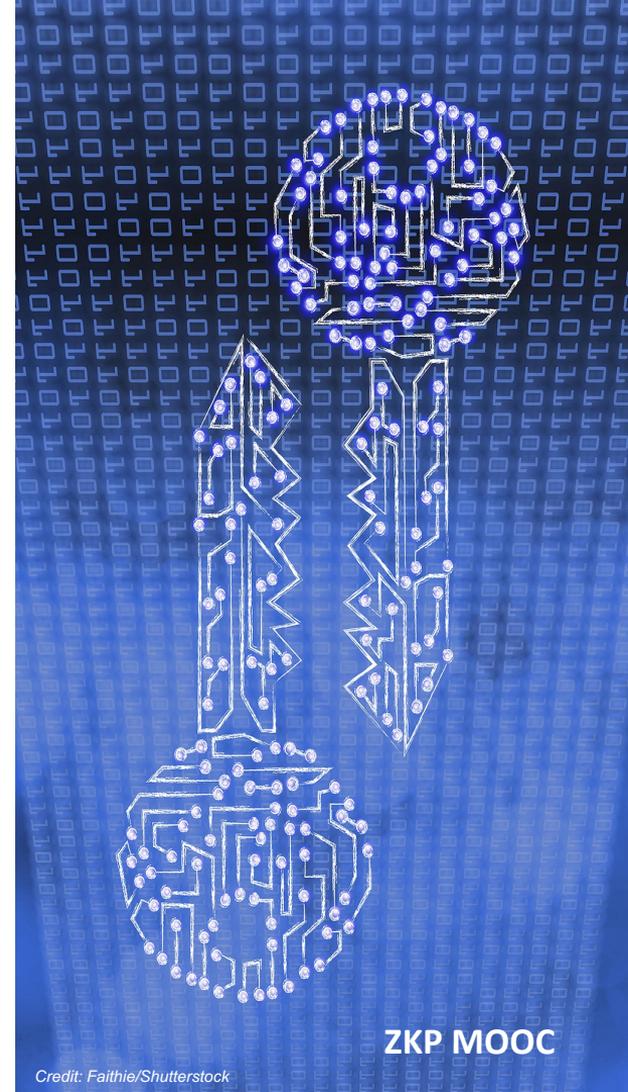


Accepts only if $z^2 = sy^{1-b} \pmod N$

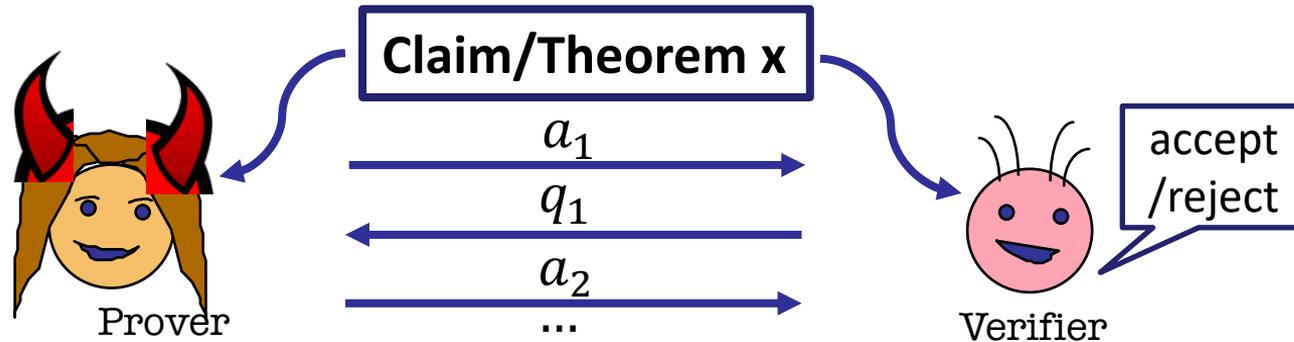
What Made it possible?

- The statement to be proven has **many possible proofs** of which the prover chooses one *at random*.
- Each such proof is made up of exactly 2 parts: seeing either part on its own gives the verifier no knowledge; seeing both parts imply 100% correctness.
- Verifier chooses **at random** which of the two parts of the proof he wants the prover to give him. The ability of the prover to provide either part, convinces the verifier

Definitions :
of Zero Knowledge
Interactive Proofs



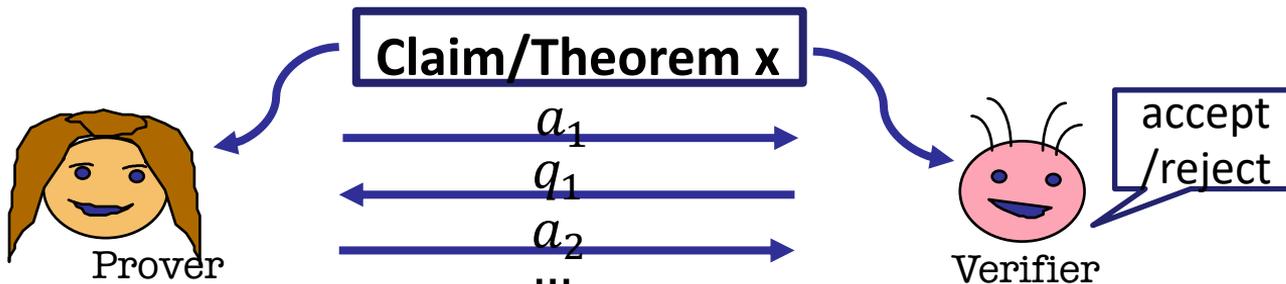
Interactive Proofs for a Language \mathcal{L}



Def: (P, V) is an interactive proof for L , if V is probabilistic poly $(|x|)$ time &

- **Completeness:** If $x \in \mathcal{L}$, V always accepts.
- **Soundness:** If $x \notin \mathcal{L}$, for all **cheating prover strategy**, V will not accept except with negligible probability.

Interactive Proofs: Notation

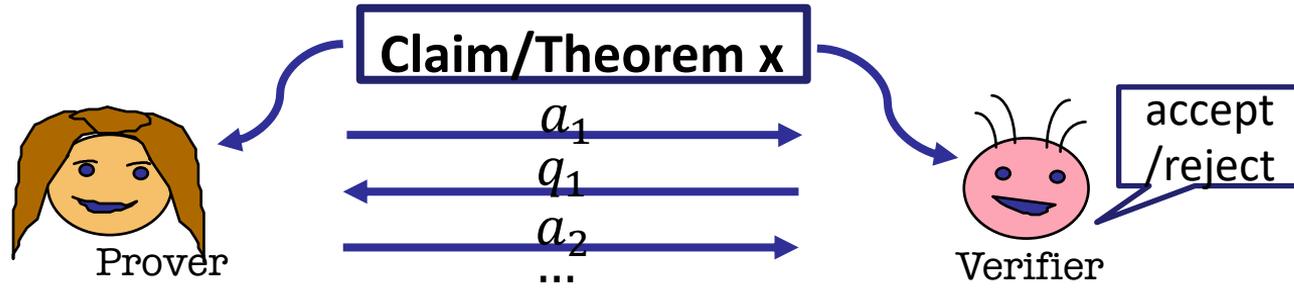


Def: (P, V) is an interactive proof for L , if V is probabilistic poly $(|x|)$ and

- **Completeness:** If $x \in \mathcal{L}$, $\Pr[(P, V)(x) = \text{accept}] = 1$.
- **Soundness:** If $x \notin \mathcal{L}$, for every P^* , $\Pr[(P^*, V)(x) = \text{accept}] = \text{negl}(|x|)$

where $\text{negl}(\lambda) < \frac{1}{\text{polynomial}(\lambda)}$ for all polynomial functions

Interactive Proofs: Notation

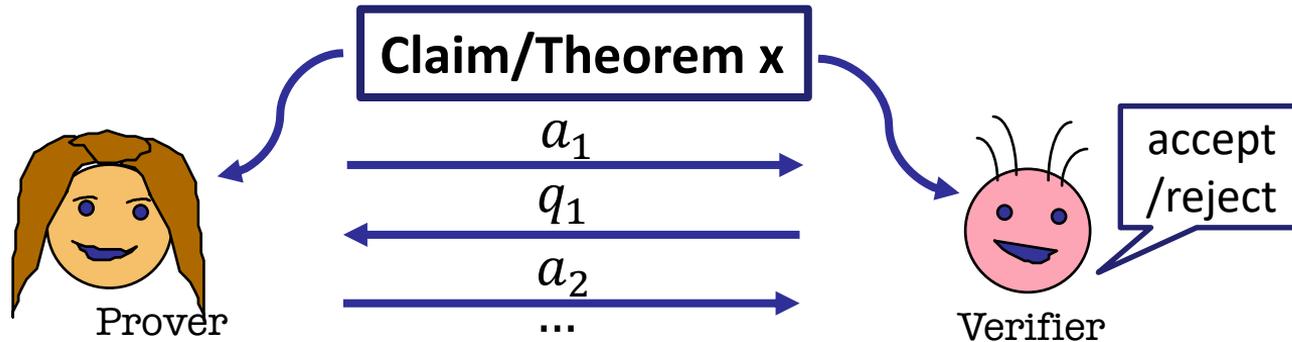


Def (ZKP) ...

This is what a proof ultimately is!

wh

Interactive Proofs for a Language \mathcal{L} : Notation

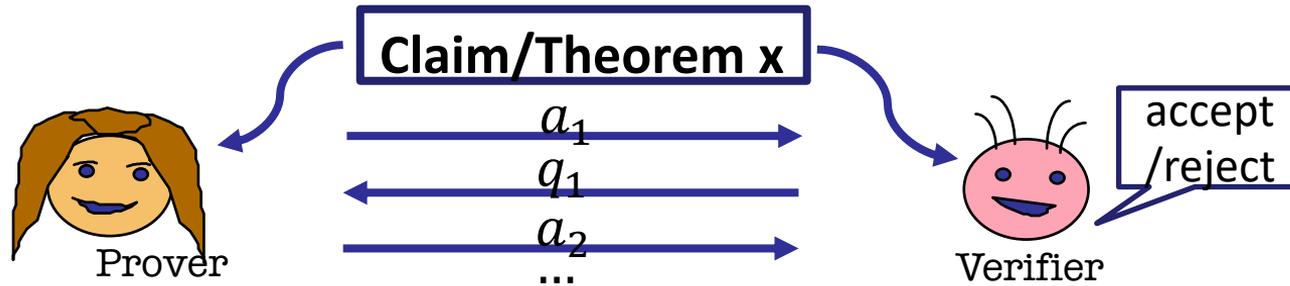


Def: (P, V) is an interactive proof for L , if V is probabilistic poly $(|x|)$ and

- **Completeness:** If $x \in \mathcal{L}$, $\Pr[(P, V)(x) = \text{accept}] \geq c$
- **Soundness:** If $x \notin \mathcal{L}$, for every P^* , $\Pr[(P^*, V)(x) = \text{accept}] \leq s$

Equivalent as long as $c - s \geq 1/\text{poly}(|x|)$

The class of Interactive Proofs (IP)



Def: class of languages $IP =$

$\{L \text{ for which there is an interactive proof}\}$

What is zero-knowledge?

For true Statements,

for every verifier

What the verifier can compute

after the interaction =

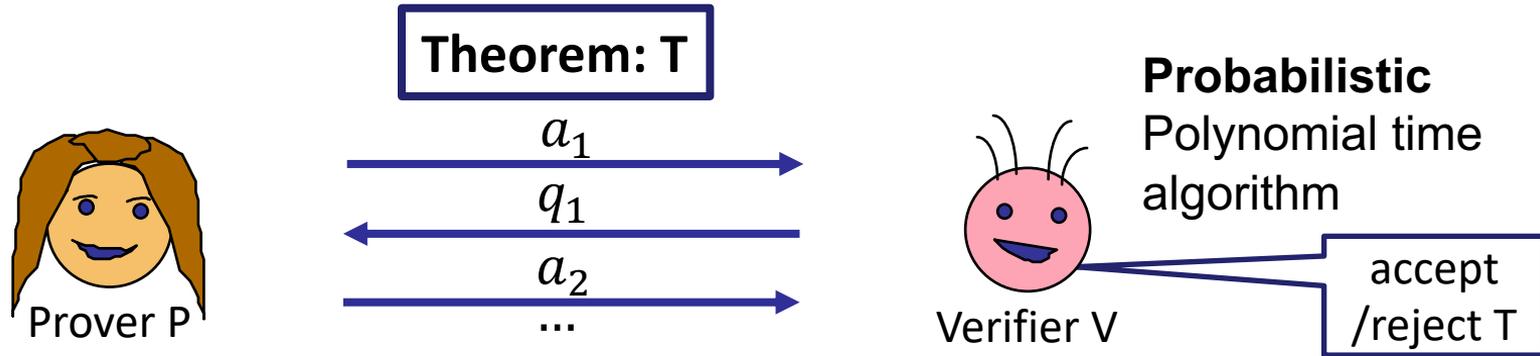
What the verifier could have computed

before interaction



How do we capture this mathematically?

The Verifier's View



- After interactive proof, V “learned”:
 - T is true (or $x \in \mathcal{L}$)
 - A **view** of interaction (= transcript + coins V tossed)

Def: $\text{view}_V(P, V)[x] = \{(q_1, a_1, q_2, a_2, \dots, \text{coins of } V)\}$.
(probability distribution over coins of V and P)

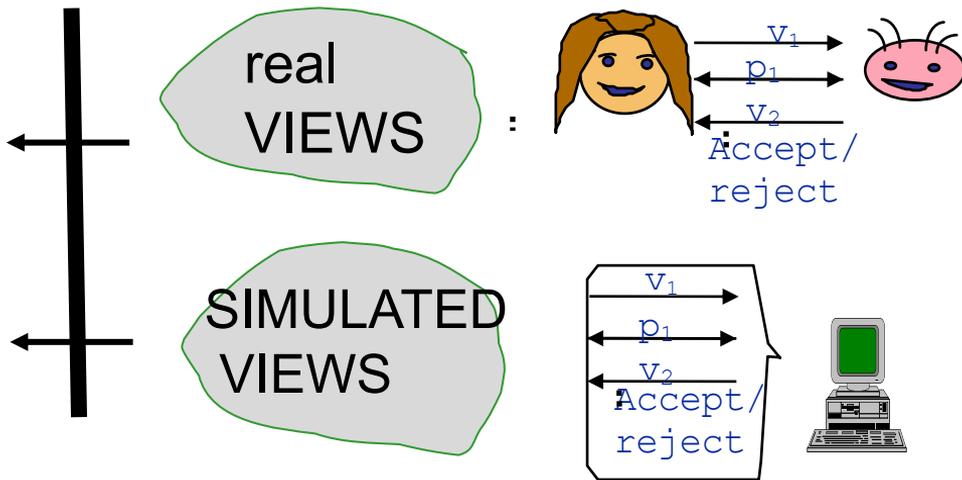
The Simulation Paradigm

V 's view gives him nothing new, if he could have simulated it its own s.t
'simulated view' and 'real-view' are **computationally-Indistinguishable**



The poly-time Distinguisher

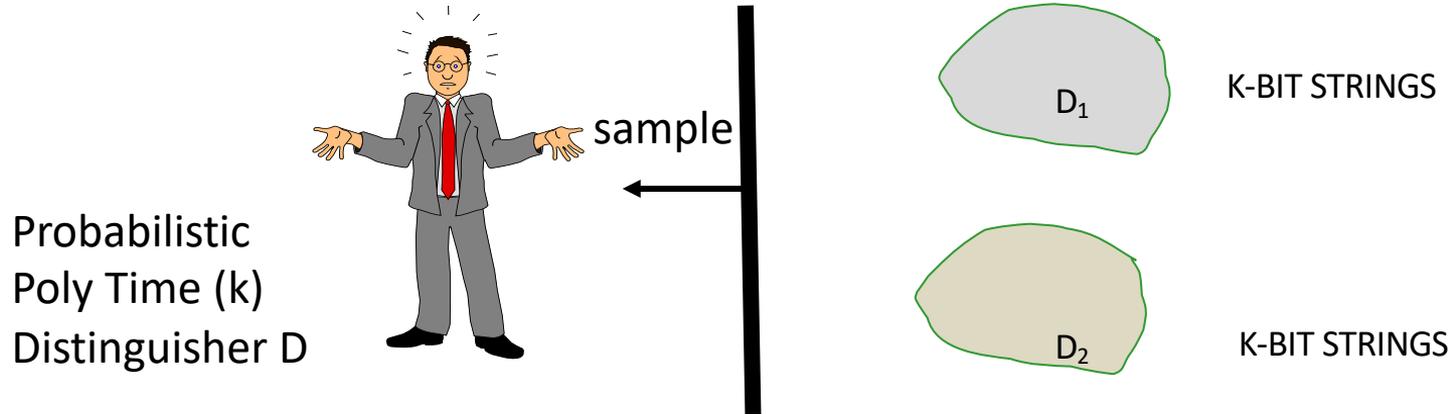
??



When
Theorem
is true

Computational Indistinguishability

If no “distinguisher” can tell apart two different probability distributions they are “effectively the same”.



For all distinguisher algorithms D , even after receiving a polynomial number of samples from D_b , $\text{Prob}[D \text{ guesses } b] < 1/2 + \text{negl}(k)$

Zero Knowledge: Definition

An Interactive Protocol (P, V) is zero-knowledge for a language L if there exists a **PPT** algorithm Sim (a simulator) such that **for every $x \in L$** , the following two probability distributions are **poly-time** indistinguishable:

1. $\text{view}_V(P, V)[x] = \{(q_1, a_1, q_2, a_2, \dots, \text{coins of } V)\}$
(over coins of V and P)
2. $\text{Sim}(x)$

Def: (P, V) is a zero-knowledge interactive protocol if it is *complete, sound and zero-knowledge*

Zero Knowledge: Definition

An Interactive Protocol (P,V) is zero-knowledge for a language L if there exists a **PPT** algorithm Sim (a simulator) such that **for every $x \in L$** , the following two probability distributions are **poly-time** indistinguishable:

Allow simulator S
Expected
Poly-time

1. $view_V(P, V)[x, 1^\lambda] = \{(q_1, a_1, q_2, a_2, \dots, \text{coins of } V)\}$
(over coins of V and P)
2. $Sim(x, 1^\lambda)$

Technicality:
Allows sufficient
Runtime on small x
 λ - security parameter

Def: (P,V) is a zero-knowledge interactive protocol if it is *complete, sound and zero-knowledge*

What if V is **NOT HONEST**

OLD DEF

An Interactive Protocol (P,V) is **honest-verifier** zero-knowledge for a language L if there exists a PPT simulator Sim such that for every $x \in L$,

$$\text{view}_V(P, V)[x] \approx \text{Sim}(x, 1^\lambda)$$

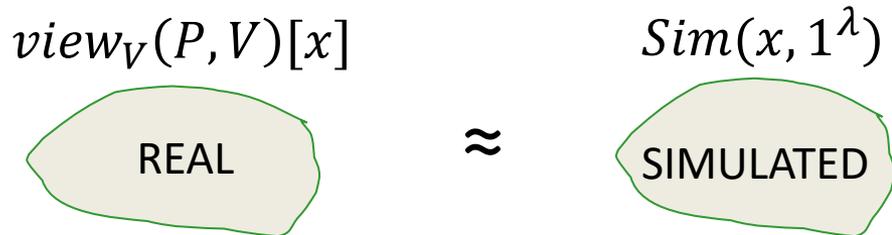
REAL DEF

An Interactive Protocol (P,V) is **zero-knowledge** for a language L if **for every PPT V^*** , there exists a poly time simulator Sim s.t. for every $x \in L$,

$$\text{view}_V(P, V)[x] \approx \text{Sim}(x, 1^\lambda)$$



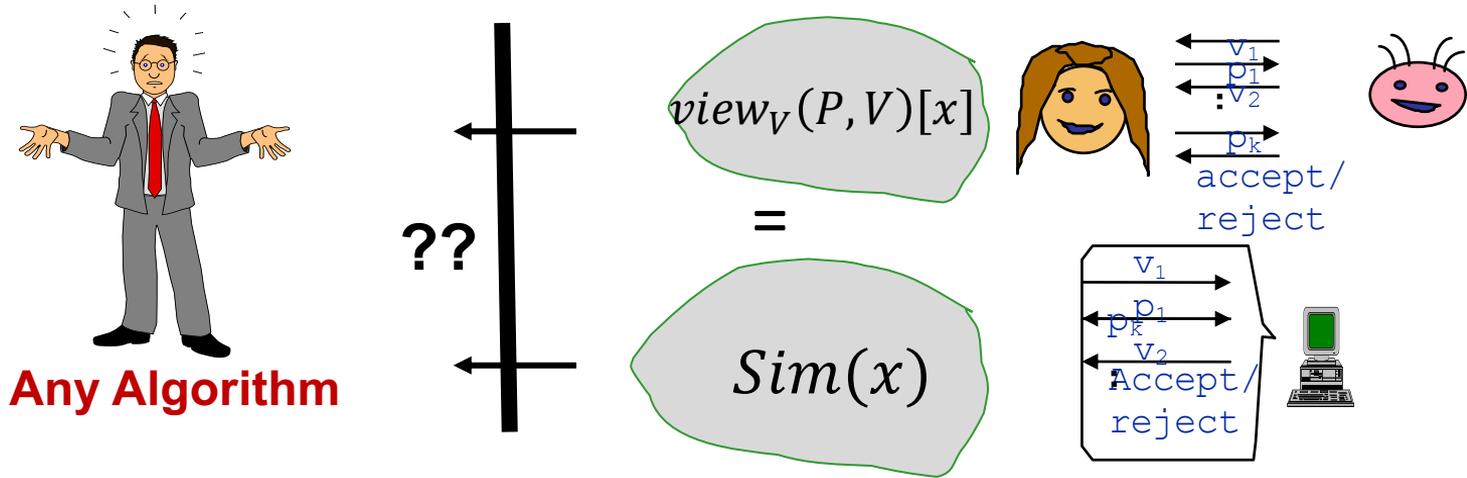
Flavors of Zero Knowledge



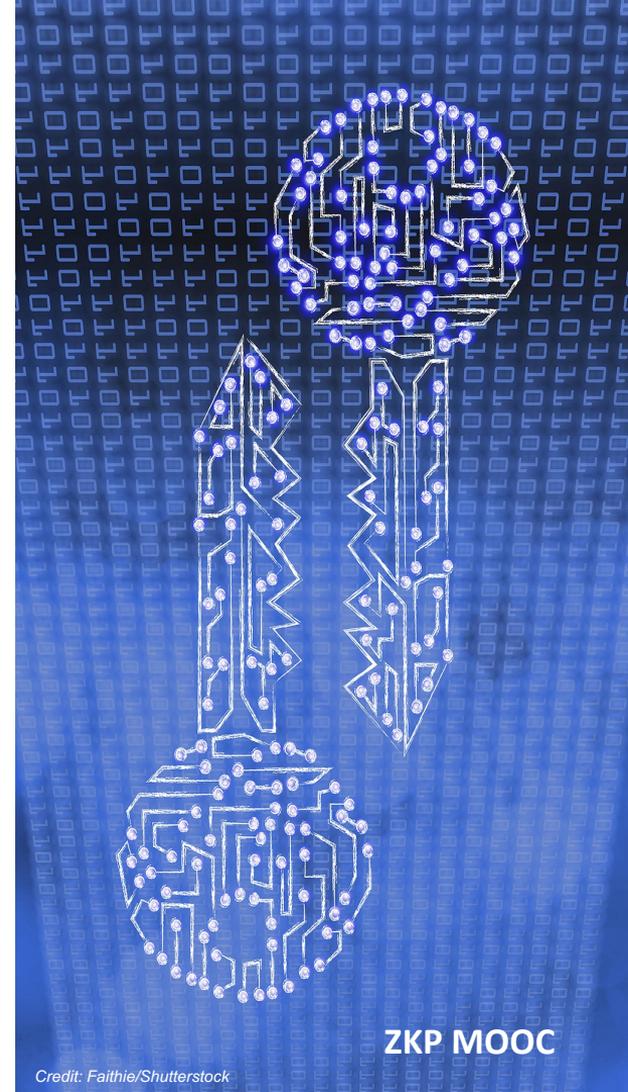
- Computationally indistinguishable distributions = CZK
- Perfectly identical distributions = PZK
- Statistically close distributions = SZK

Special Case: Perfect Zero Knowledge

verifier's view can be exactly efficiently simulated
'Simulated views' = 'real views'

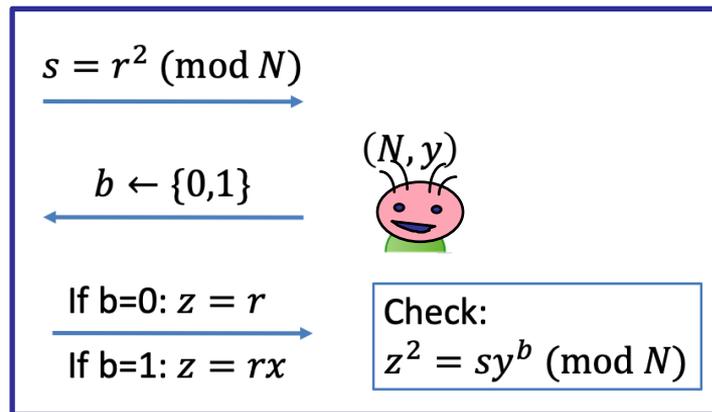


Working through a Simulation for QR Protocol



Recall the Simulation Paradigm

$view_V(P, V)$:
Transcript = (s, b, z) ,
Coins = b

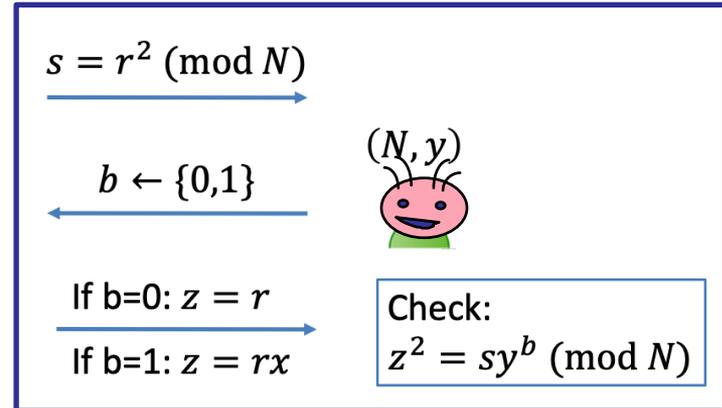


Recall the Simulation Paradigm



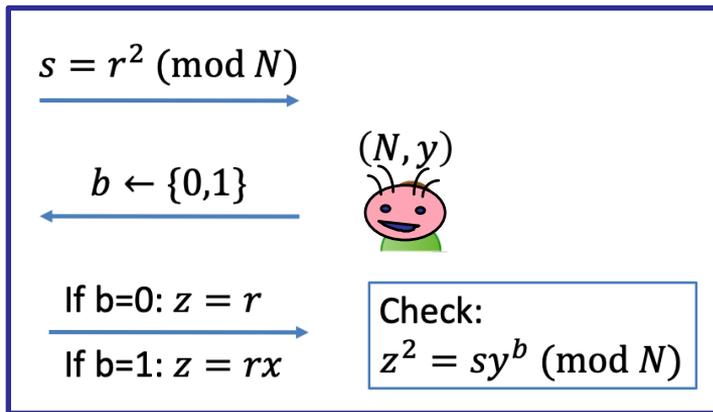
$view_V(P, V):$
 (s, b, z)

$sim :$
 (s, b, z)



(Honest Verifier) Perfect Zero Knowledge

Claim: The QR protocol is perfect zero knowledge.



Simulator S works as follows:

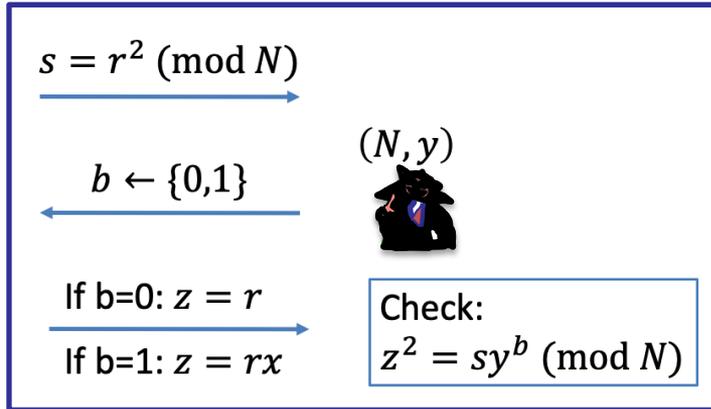
1. First pick a random bit b .
2. pick a random $z \in Z_N^*$.
3. compute $s = z^2 / y^b$.
4. output (s, b, z) .

$view_V(P, V):$
 (s, b, z)

claim: The simulated transcript is identically distributed as the real transcript

Perfect Zero Knowledge: for all V^*

Claim: The QR protocol is perfect zero knowledge.



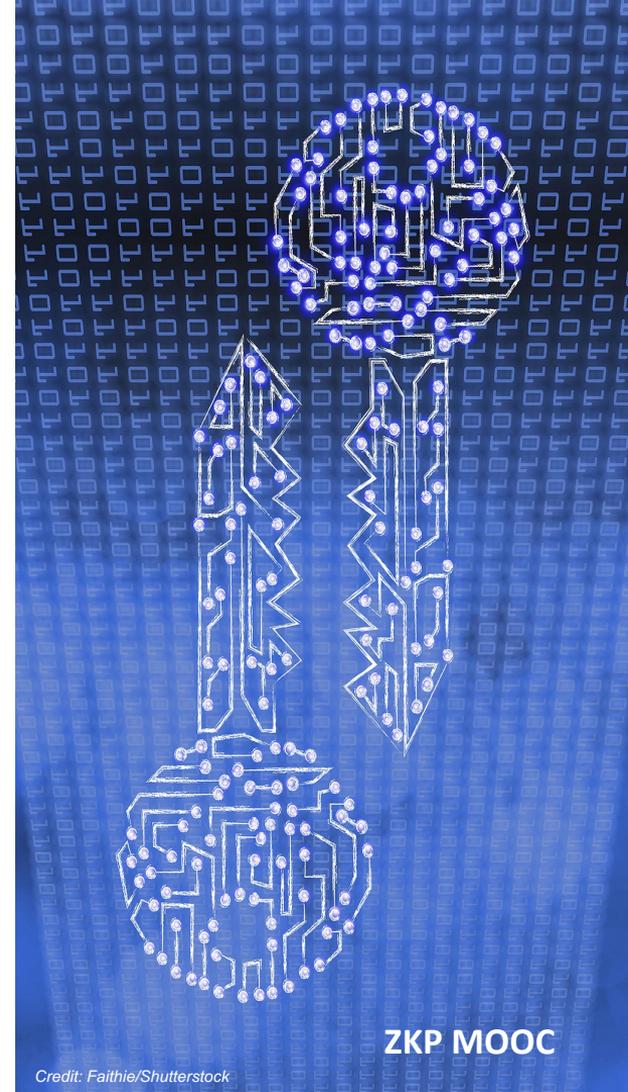
$view_V(P, V):$
 (s, b, z)

Simulator S works as follows:

1. First pick a random bit b .
2. pick a random $z \in Z_N^*$.
3. compute $s = z^2 / y^b$.
4. If $V^*((N, y), s) = b$ output (s, b, z)
if not goto 1 and repeat

Claim: Expected number of repetitions is two

ZK proof of Knowledge



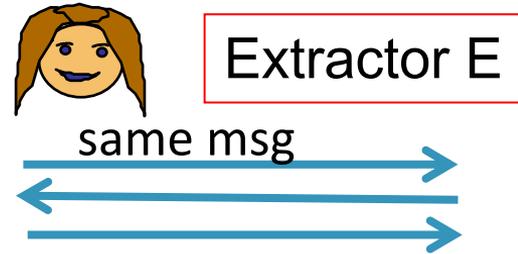
Prover seems to have proved more: theorem is correct and that she “knows” a square root mod N

Consider $L_R = \{x : \exists w \text{ s.t. } R(x, w) = \textit{accept}\}$ for poly-time relation R.

Def: (P, V) is a **proof of knowledge** (POK) for L_R if :

\exists PPT (knowledge) extractor algorithm E s.t. $\forall x$ in L,
in expected poly-time $E^P(x)$ outputs w s.t. $R(x, w) = \textit{accept}$.

$E^P(x)$ (E may run P repeatedly on the same randomness)
possibly asking different questions in every executions
This is called the rewinding technique



Prover seems to have proved more not only that theorem is correct, but that she “knows” a square root mod N

Consider $L_R = \{x : \exists w \text{ s. t. } R(x, w) = \textit{accept}\}$ for poly-time relation R.

Def: (P,V) is a **proof of knowledge** (POK) for L_R if :

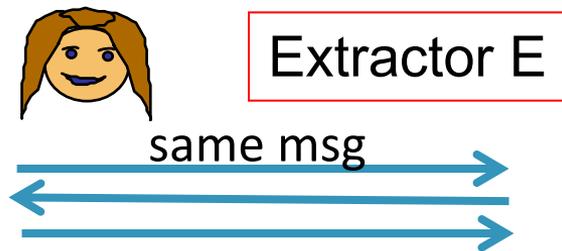
\exists PPT (knowledge) extractor algorithm E s. t. $\forall x$ in L,
in expected poly-time $E^P(x)$ outputs w s.t. $R(x,w)=\textit{accept}$.

[if $\text{Prob}[(P,V)(x)=\textit{accept}] > \alpha$, then $E^P(x)$ runs in expected $\text{poly}(|x|, 1/\alpha)$ time]

$E^P(x)$ (may run P repeatedly on the same randomness)

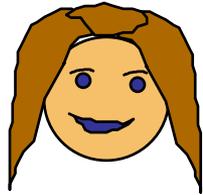
Possibly asking different questions in every executions

This is called the rewinding technique



ZKPOK that Prover knows a square root x of y mod N

Input: (y, N)



Extractor
Algorithm

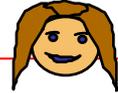
$s = r^2 \pmod N$



head



r



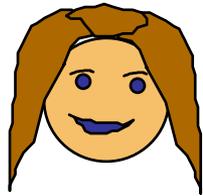
Extractor:

On input (y, N) ,

1. Run prover & receive s
2. Set verifier message to **head**; Store r

The Rewinding Method

Input: (y, N)



Extractor
Algorithm

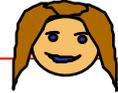
$s = r^2 \pmod N$



$tail$



$rx \pmod N$

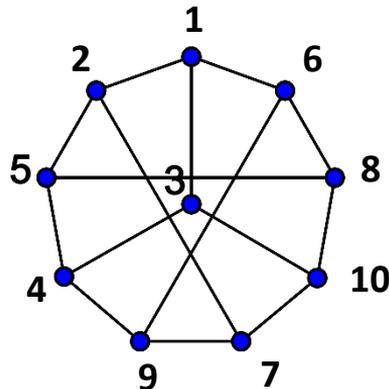
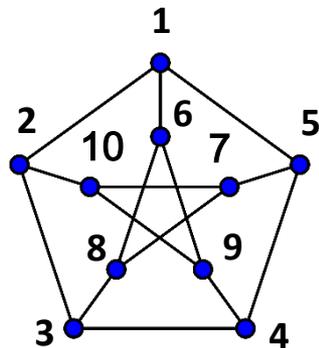


Extractor:

On input (y, N)

1. Run prover & receive s
2. Set verifier message to **head**; receive and store r
3. **Rewind** and 2nd time set verifier message to **tail** receive rx
4. Output $rx/r = x \pmod N$

ZK Proof for Graph Isomorphism

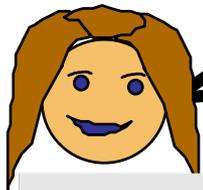


Recall:

G_0 is isomorphic to G_1

If \exists isomorphism $\pi: [N] \rightarrow [N]$, $\forall i, j: (\pi(i), \pi(j)) \in E_1$ iff $(i, j) \in E_0$.

ZK Interactive Proof for Graph Isomorphism



Proof:

$$H = \gamma_0(G_0),$$

$$H = \gamma_1(G_1),$$

Thus

$$G_1 = \gamma_1^{-1}(\gamma_0(G_0))$$

$$\text{Set } \sigma = \gamma_1^{-1} \gamma_0$$

I will produce a random graph H for which

1: I can give an isomorphism γ_0 from G_0 to H
OR

2: I can give an isomorphism γ_1 from G_1 to H
Thus, \exists isomorphism σ from G_0 to G_1

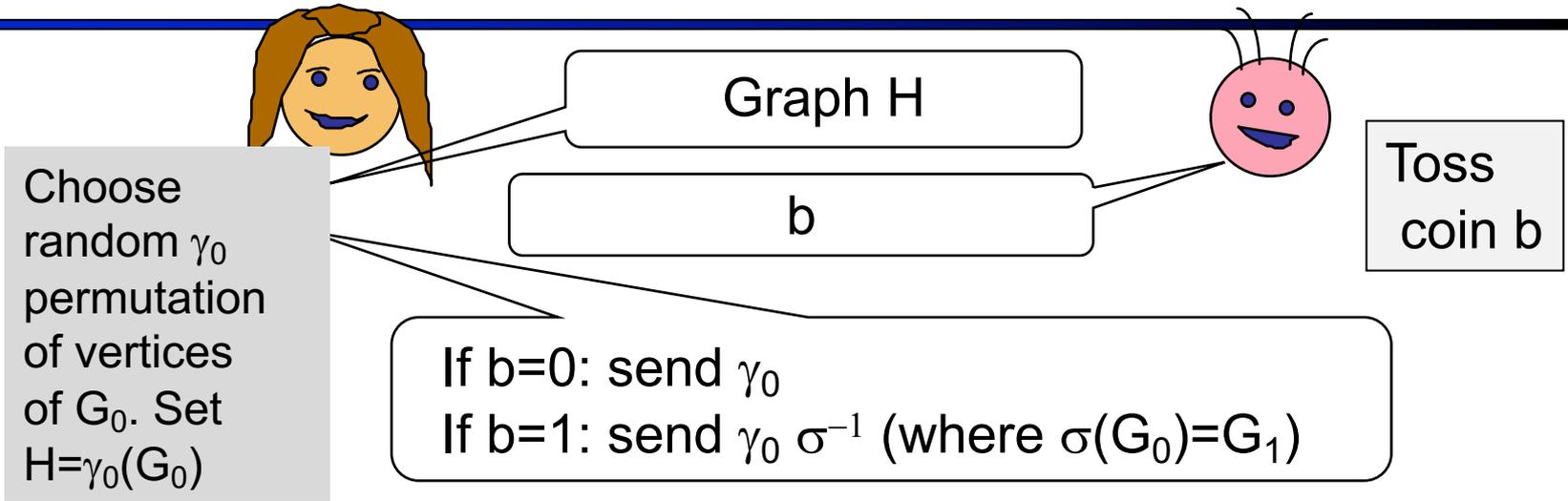
Verifier, please randomly choose if I should demonstrate my ability to do **#1** or **#2**.

POINT IS: If I can do both,
there exists an isomorphism from G_0 to G_1



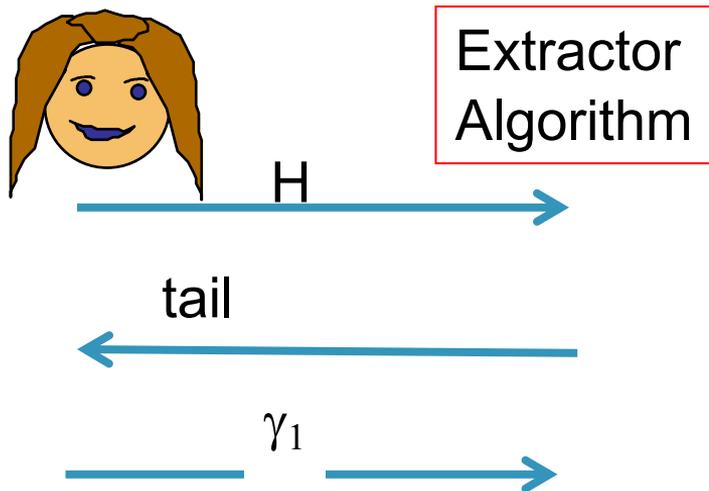
REPEAT K
INDEPENDENT TIMES.

Input: (G_0, G_1)



- Claims:
- (1) Statement true \Rightarrow can answer correctly for $b=0$ and 1
 - (2) Statement false \Rightarrow $\text{prob}_b(\text{catch a mistake}) \geq 1 - 1/2^k$
 - (3) Perfect ZK [Exercise]

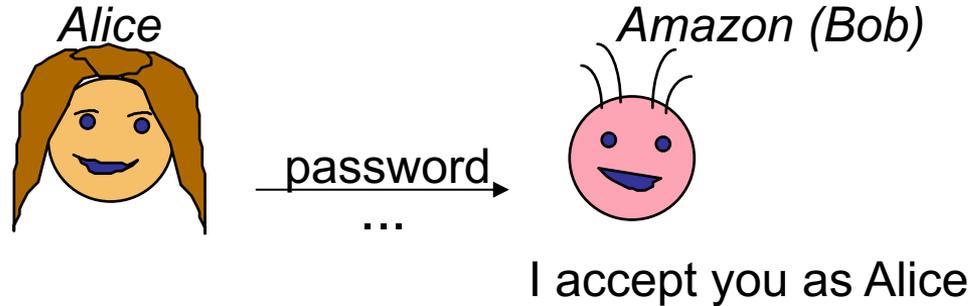
ZKPOK that **Prover** knows an isomorphism from G_1 to G_2



Extractor :

- 1) On input H
set **coin**=head
Store γ_0
- 2) **Rewind** and 2nd time
set **coin**=tail
Store γ_1
- 3) Output $\gamma_1^{-1}(\gamma_0)$

The first application: Identity Theft [FS86]



For Settings:

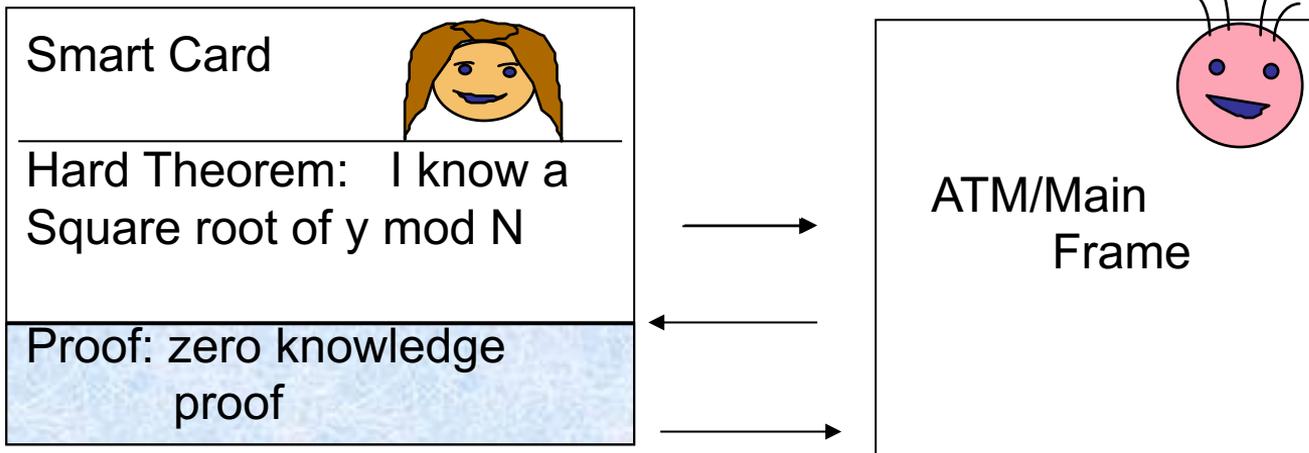
- Alice = Smart Card.
- Over the Net
- Breaking ins at Bob/Amazon are possible

Passwords are no good

Zero Knowledge: Preventing Identity Theft

PROVER

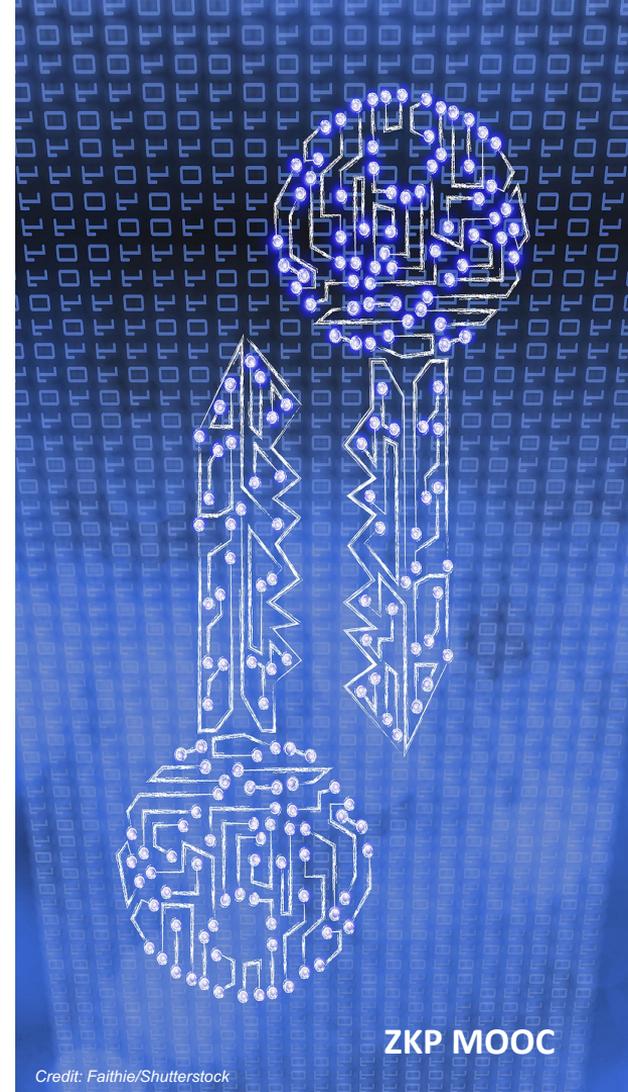
VERIFIER



To identify itself prover proves a hard theorem.

Interesting examples, one application

But, do all NP Languages have Zero Knowledge Interactive Proofs?



Yes: All of NP is in Zero Knowledge

Theorem[GMW86,Naor]: If one-way functions exist, then every L in NP has computational zero knowledge interactive proofs

Ideas of the proof:

1. Show that an NP-Complete Problem has a ZK interactive Proof

[GMW87] Showed ZK interactive proof for G3-COLOR using bit-commitments

\Rightarrow For any other L in NP, $L <_p$ G3-COLOR (due to NPC reducibility)

\Rightarrow Every instance x can be reduced to graph G_x such that

- if x in L then G_x is 3 colorable
- if x not in L then G_x is not 3 colorable

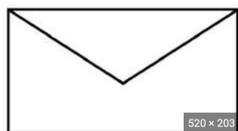
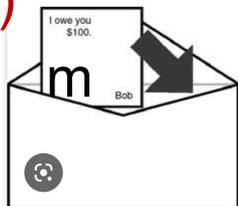
Can you show Zero Knowledge for all of NP [GMW87]

Theorem[GMW86, Naor]: If one-way functions exist, then every L in NP has computational ZK interactive proofs

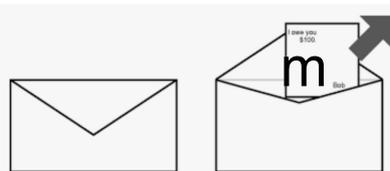
Ideas of the proof:

- 1.[GMW87] Show that an NP-Complete Problem has a ZK interactive Proof if bit commitments exist
- 2.[Naor]One Way functions \rightarrow bit commitment protocol exist

Commit(m)



Decommit



hiding

binding

Properties of a Bit Commitment Protocol (Commit, Decommit) between Sender S and Receiver R

Hiding: \forall receiver R^* , after **commit** stage $\forall b, b' \in \{0,1\}$, view of sender R^*

$$\{\text{View}_{R^*}\{\text{Sender}(b), R^*\}(1^k)\} \approx_c \{\text{View}_{R^*}\{\text{Sender}(b'), R^*\}(1^k)\} \quad [k=\text{sec. param}]$$

Binding: \forall sender S^* , after **commit** and **decommit** stage

$\text{Prob}[R \text{ will accept two different values } b \text{ and } b'] < \text{negl}(k)$

K-security parameter

Ex: Use (semantically) secure probabilistic encryption scheme Enc

Commit(b) = “sender chooses r and sends $c = \text{Enc}(b;r)$ ”

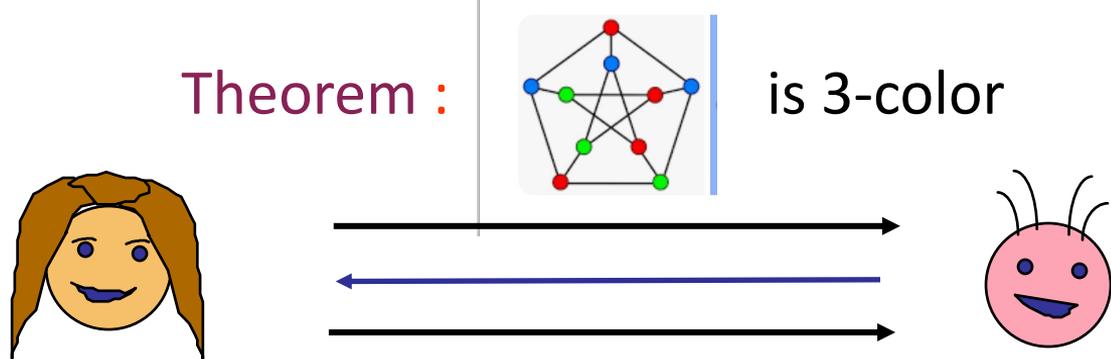
Decommit(c) = “sender sends r and b. Receiver rejects unless $c = \text{Enc}(b;r)$ ”

All of NP is in Zero Knowledge

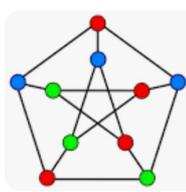
Theorem[GMW86,Naor]: If one-way functions exist, then every L in NP has computational zero knowledge interactive proofs

Ideas of the proof:

1. Show that an G3-COLOR has a ZK interactive Proof



Theorem :



is G3-COLORABLE

On common input graph $G=(V,E)$ & prover input coloring $\pi: V \rightarrow \{0,1,2\}$

- 1. Prover:** pick a random permutation σ of colors $\{0,1,2\}$ & color the graph with coloring $\phi(v):=\sigma(\pi(v))$, and **commit** to each color of each vertex v by running *Commit*($\phi(v)$) protocol
 - 2. Verifier:** select a random edge $e=(a, b)$ to send to Prover
 - 3. Prover:** **Decommit** colors $\phi(a)$ & $\phi(b)$ of vertices a and b
- Decision:** Verifier rejects If $\phi(a) \neq \phi(b)$, otherwise Verifier repeats steps 1-3 and accepts after k iterations

Completeness and Soundness

- **Completeness:** if G is 3-colorable, then the honest prover uses a proper 3-coloring & the verifier always accepts.
- **Soundness:** If G is not 3-colorable, then for all P^* ,
$$\text{Prob}[\text{Verifier accepts}] < (1 - 1/|E|)^k < 1/e^{|E|}$$
for $k = |E|^2$.
- **Zero Knowledge:** Easy to see informally, Messy to prove formally

Honest Verifier Computational ZK

Simulator S in input $G=(V,E)$: choose at random in advance a challenge (a,b) of the honest verifier V .

- Choose random edge (a,b) in G
- Choose colors ϕ_a, ϕ_b in $\{0,1,2\}$ s.t $\phi_a \neq \phi_b$ at random and for all other $v \neq a,b$ set $\phi_v = 2$. Output simulated-view =
(commit-transcript to $\phi(v)$ for all v , edge $= (a, b)$,
decommit-transcript to colors ϕ_a, ϕ_b)

Computational ZK: Simulation for any Verifier V^*

Simulator S on input G and verifier V^* : For $i = 1$ to $|E|^2$:

- Choose random edge (a, b) and generate commitments com to colors as in honest verifier simulation.
- Run V^* on com to obtain challenge (a^*, b^*) ;
if $(a^*, b^*) = (a, b)$, then output simulation as honest verifier case,
If all iterations fail, then output \perp .

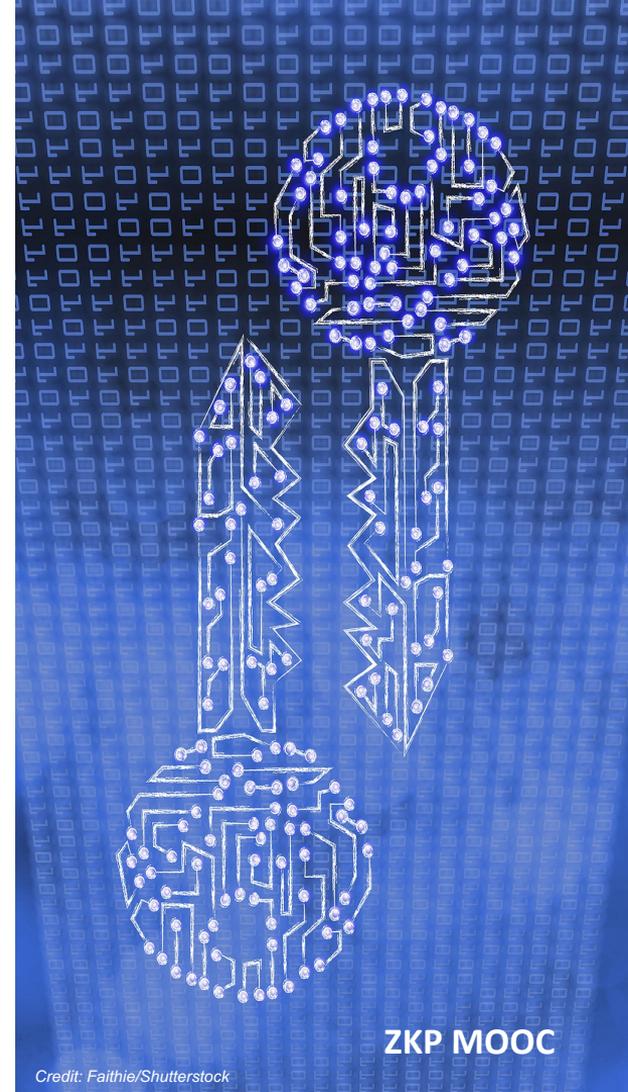
Claim: If Commitment scheme is Hiding & Binding, then

$\forall G, \pi$ (a true coloring) : $\text{prob}[\perp \text{ output}] = \text{neg}(|E|)$ and if \perp is not output, then
simulated-view \approx_c real-view

Now, we have as many CZK examples as NP-languages

- n is the product of 2 primes
 - x is a square mod n
 - (G_0, G_1) are isomorphic
- } Stronger Guarantee: PZK
- Any SAT Boolean Formula has satisfying assignment
 - Given encrypted inputs $E(x)$ & program $PROG$, $y=PROG(x)$
 - Given encrypted inputs $E(x)$ & encrypted program $E(PROG)$, $y=PROG(x)$

Applications in practice and in theory



Protocol design applications

- Can prove relationships between m_1 and m_2 never revealing either one, only $\text{commit}(m_1)$ and $\text{commit}(m_2)$.

Examples: $m_1=m_2$, $m_1 \neq m_2$ or more generally $v=f(m_1, m_2)$ for any poly-time f

Generally: A tool to enforce honest behavior in protocols without revealing any information. Idea: protocol players sends along with each *next-msg*, a ZK proof that $\text{next-msg} = \text{Protocol}(\text{history } h, \text{ randomness } r)$ on history h & $c = \text{commit}(r)$
Possible since $L = \{\exists r \text{ s.t. } \text{next} - \text{msg} = \text{Protocol}(h, r) \text{ and } c = \text{commit}(r)\}$ in NP.

Uses for Zero Knowledge Proofs 90-onwards

Computation Delegation [Kalai, Rothblum x 2, Tromer,...]

Zero Knowledge and Nuclear Disarmament [Barak et al]

Zero Knowledge and Forensics [Naor et al]

Zcash: Bit Coin with privacy and anonymity [BenSasson, Chiesa et al]

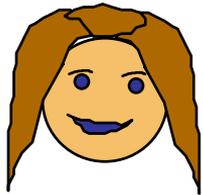
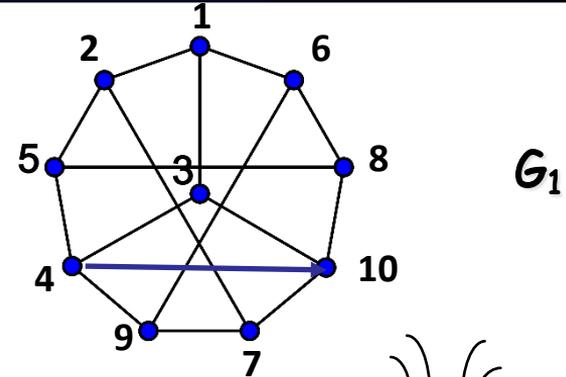
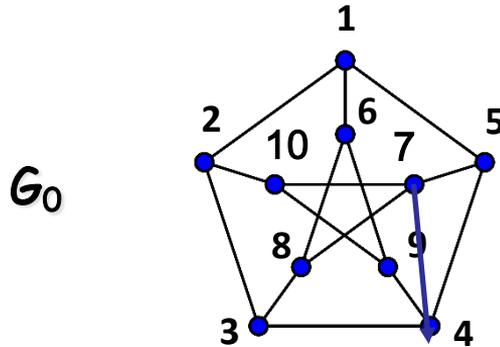
Zero Knowledge and Verification Dilemmas in the Law [Bamberger et al]

Complexity Theory: Randomized Analogue to NP

Efficiently Solvable	P	BPP (randomized poly time)
Efficiently Verifiable	NP	IP

Q: Is **IP** greater than NP?

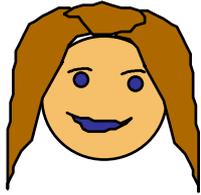
Claim: G_0 is **Not Isomorphic** to G_1
(in co-NP, not known to be in NP)



Shortest classical proof:
 \approx exponential $n!$ for n vertices
**But can convince with an efficient
interactive proof**



Graph Non-Isomorphism in IP

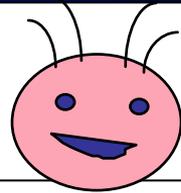


if H isomorphic
to G_0 then $b = 0$,
else $b = 1$

input: (G_0, G_1)

$\longleftarrow H = \gamma(G_c)$

b \longrightarrow



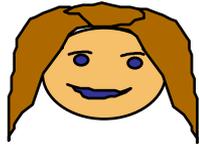
flip coin $c \in \{0,1\}$
pick random γ

Reject if $b \neq c$

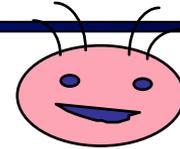
Accept after n repetitions

Claim: Completeness & Soundness hold

Graph Non-Isomorphism in IP



input: (G_0, G_1)



if H isomorphic to G_0 then $b = 0$,
else $b = 1$

$H = \gamma(G_c)$

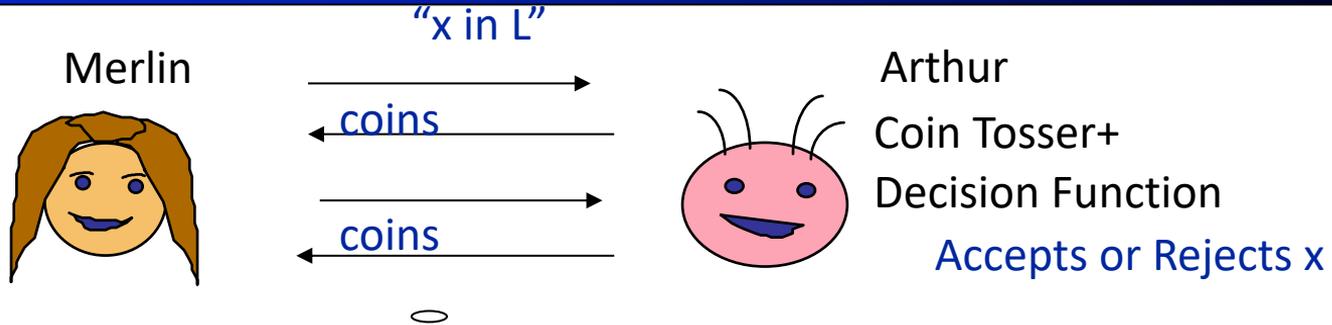
flip coin $c \in \{0,1\}$ pick
random γ

b

Reject if $b \neq c$
Accept otherwise

Not ZK! V^* can learn if graph H of its choice is isomorphic to G_0 or G_1 .
Idea for fix: V proves to P in ZK that he knows an isomorphism γ

Arthur-Merlin Games [BaM85]



GNI requires verifier to keep its coins secret as in IP protocols

Is coin privacy necessary?

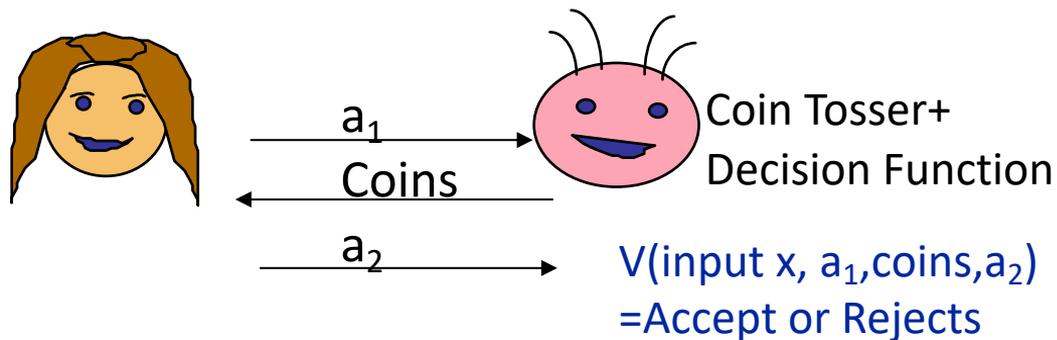
Theorem[GoldwasserSipser86]: AM (protocols with Public Coins) = IP

Idea: Merlin proves to Arthur “the set of private coin executions that would make Verifer accept” is large. **Technique**= prove lower bound on size of sets

AM Protocols enable “in practice” removal of interaction: the Fiat-Shamir Paradigm[FS87]

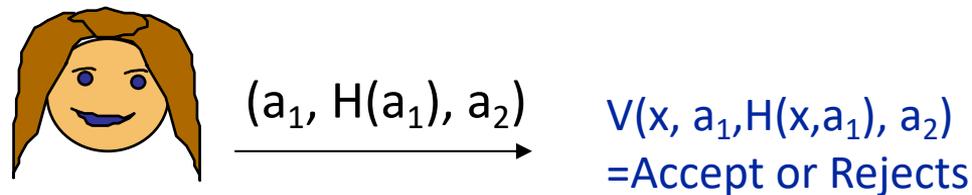
- Let $H:\{0,1\}^* \rightarrow \{0,1\}^k$ be a cryptographic Hash function

- Can take an AM protocol



- Replace by

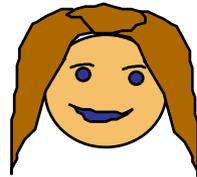
Fiat-Shamir Heuristic:
If H is random-oracle, then completeness & soundness hold,
Use H – hash function



AM Protocols suggest “in practice” removal of interaction: the Fiat-Shamir Paradigm[FS87]

Warning: this does **NOT** mean every interactive ZK proof can transform to AM protocols and then use Fiat-Shamir heuristic,
Since IP =AM transformation requires extra super-polynomial powers from Merlin
And for Fiat-Shamir heuristic to work, Prover must be computationally bounded so not to be able to invert H
Yet, many specific protocols, can benefit from this heuristic

Fiat-Shamir Heuristic:
If H is random-oracle, then
completeness & soundness hold



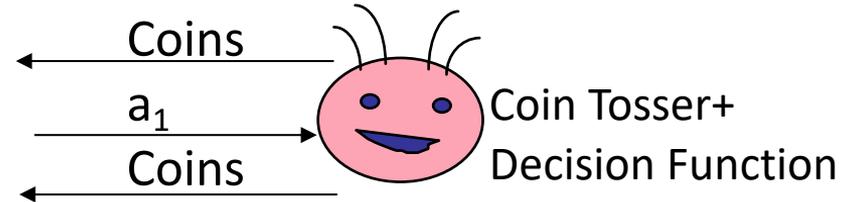
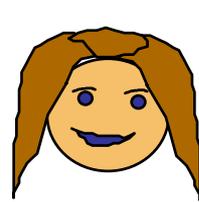
$(a_1, H(a_1), a_2)$

$V(x, a_1, H(x, a_1), a_2)$
=Accept or Rejects

AM Protocols suggest “in practice” removal of interaction: the Fiat-Shamir Paradigm[FS87]

- Let $H:\{0,1\}^* \rightarrow \{0,1\}^k$ be a cryptographic Hash function

- Can take an AM protocol



Q: What if **first message** are coins from Arthur?

$(a_1, a_2) = \text{Accept}$

Idea(used later in course extensively):

Post **first message coins** as a “publicly” chosen randomness for all to see and then apply Fiat-Shamir heuristics to get non-interactive proofs

$(a_2) = \text{Accept}$

or Rejects

IP: Complexity Theory Catalyst

Decoupled “Correctness” from “Knowledge of the proof”

Ask new questions about nature of proof

Questions have been asked and answered in
last 30+ years leading up to **current research on**
Provably outsourcing computation

Classically: Can Efficiently Verify

NP	✓	\exists solution
Co-NP	?	0 solutions
#P	?	$2^{100} - 13$ solutions
PSPACE	?	$\exists \forall \dots \exists$

Can you prove more via interactive proofs?

Interactively Provable = PSPACE

[FortnowKarloffLundNissan89,
Shamir89]

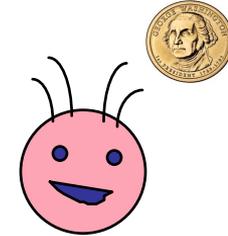
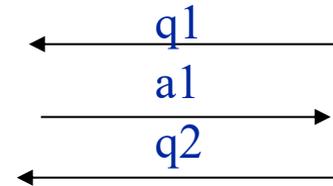
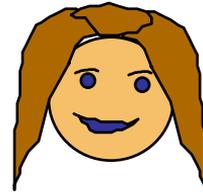
NP ✓

Co-NP ✓

#P ✓

PSPACE ✓

=IP



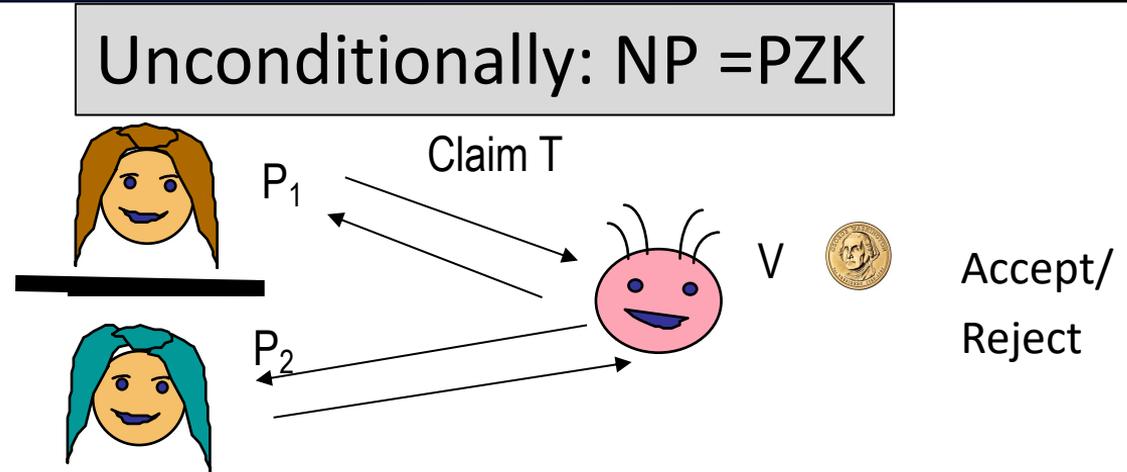
Accept/
Reject

Other Ways to define probabilistic proof systems?

The Arrival of the Second Prover (MIP)

[BenorGoldwasserKilianWigderson88]

NP ✓
Co-NP ✓
#P ✓
PSPACE ✓



Could two prove more than one?
Intuition: Can check consistency,
Verifier catches provers if deviate

The Second Prover is a Game Changer (MIP)

NP



Co-NP



#P



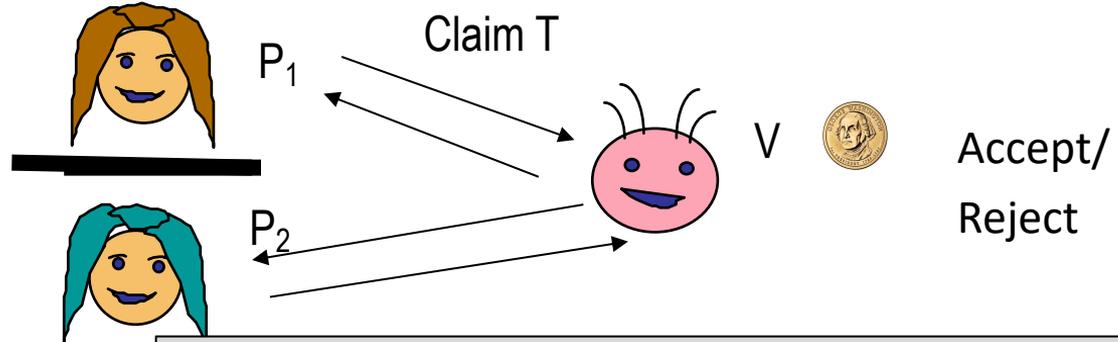
PSPACE



NEXPTIME



[BabaiFortnowLund90]

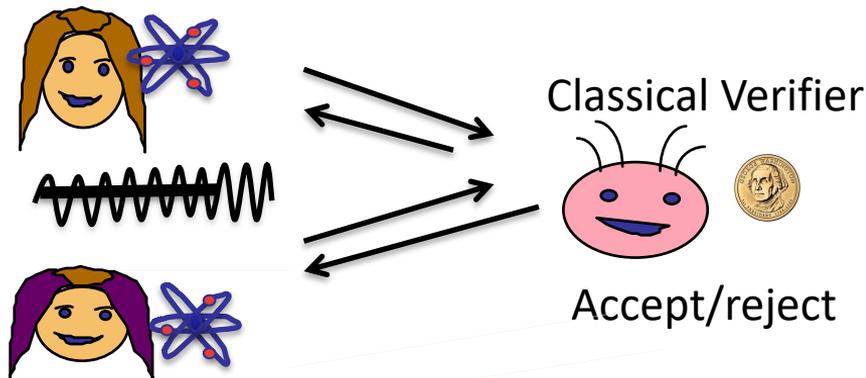


PCP theorem [FGLSS, AS, **ALMSS91**]:
NP statements can be verified with high prob. by only reading a **constant** number of bits of the proof
→ NP-Hardness of Approximation Problems

Impact on Quantum Computing

Q: Can the correctness of a Quantum polynomial time computation be checked by a classical verifier?

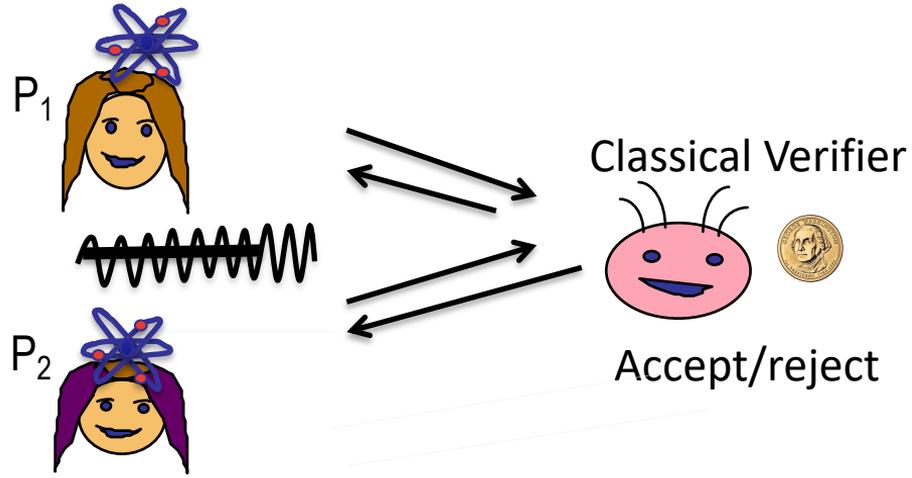
Quantum Polynomial time



Theorem[ReichardtUngerVazirani13]:

A classical Verifier can verify the computation of two entangled but non-communicating poly-time quantum algorithms

Quantum MIP is All Powerful

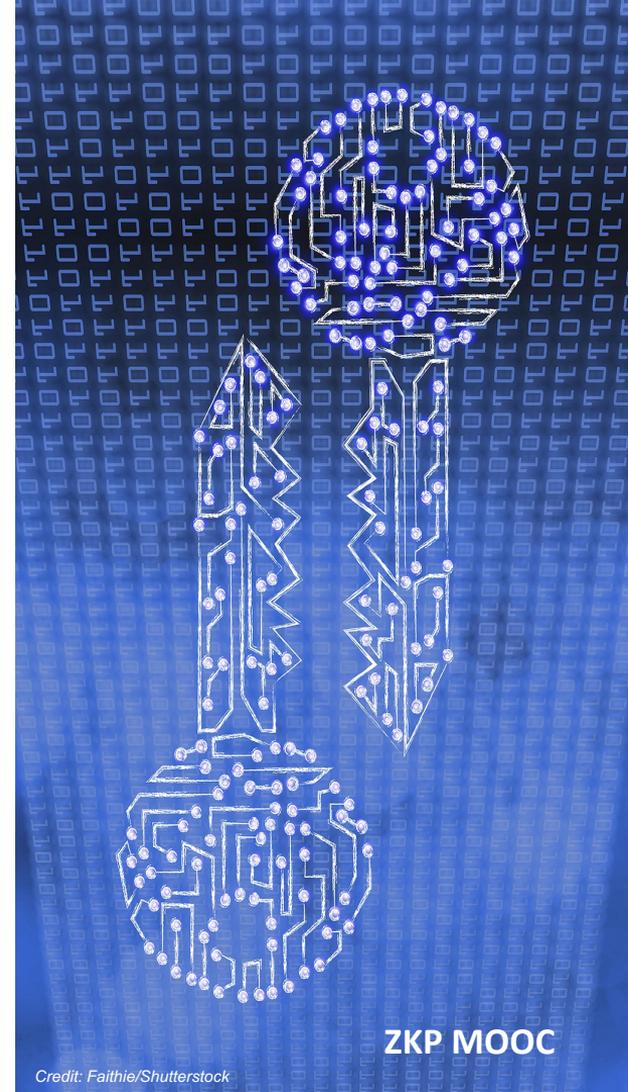


2020:

MIP* = Recursively Enumerable Languages

[Ji, Natarajan, Vidick, Wright, Yuen]

Aside: The Resistance



1983–1985 (The Resistance)



We
appl
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π w
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tion

Inte

me) to be
iral exten-
ontext, as

$\subseteq D_\pi$ the
computa-

An Interactive Non-deterministic Turing Machine (INDTM) is formed by two communicating modules: a *guesser* G and a *checker* C . The checker is a probabilistic Turing Machine. G and C share a read-only tape in which the input is written. C sends information to G by writing on a tape that G can read. G sends

1983–1985 (The Resistance)

Revised Version, Dec 8, 198

The Information Content of Proof Systems

1. Introduction

1.1 The goal

The goal contained in a

Example associated with graphs. A statistic in exhibiting than G is Har sist of all weights than B . Similar



1983–1985 (The Resistance)



Let me show you how to do it!

Dec 7, 1984

ocols

1. Intr

Co
computa
knowled;
no one c
cryptogra

In traditional
municate as much
icred good friends,
on with respect to

generally no problem at all communi-
cating the knowledge efficiently, but the whole problem is making sure not *too much* knowledge
has been communicated.

1985 (The Acceptance)

*We are very happy to inform you that your paper
“The Knowledge Complexity of Interactive Proof Systems”
has been selected for presentation at the 17th Symposium on Theory of
Computing*



Broader Lessons

- Pay attention to good ideas
- It may take a long time >30 years to go from the basic idea to impact